

# Lower Bounds for the Complexity of Learning Half-Spaces with Membership Queries

Valery N. Shevchenko and Nikolai Yu. Zolotykh

Nizhny Novgorod State University, Gagarin ave. 23,  
Nizhny Novgorod 603600, Russia

**Abstract.** Exact learning of half-spaces over finite subsets of  $\mathbb{R}^n$  from membership queries is considered. We describe the minimum set of labelled examples separating the target concept from all the other ones of the concept class under consideration. For a domain consisting of all integer points of some polytope we give non-trivial lower bounds on the complexity of exact identification of half-spaces. These bounds are near to known upper bounds.

## 1 Introduction

We consider the complexity of exact identification of half-spaces over the domain  $M$  that is an arbitrary finite subset of  $\mathbb{R}^n$  ( $n$  is fixed). We are interested in the model of learning with membership queries.

The main result of this paper is Theorem 9 describing the structure of the teaching set  $T$  of a half-space  $c$ , i. e. a subset of  $M$  such that no other half-space agrees with  $c$  on the whole  $T$ .

The mentioned theorem is used to obtain the lower bound for the complexity of identification of half-spaces over the domain  $\{0, 1, \dots, k-1\}^n$ . We show that  $\text{MEMB}(\text{HS}_k^n) = \Omega(\log^{n-2} k)$ . For  $n \geq 3$  this significantly improves  $\Omega(\log k)$  lower bound [10] on the considered quantity. The presented result can be compared with the following upper bound. From results of M. Yu. Moshkov in the test theory [11] it follows that

$$\text{MEMB}(\text{HS}_k^n) = O\left(\frac{\log^n k}{\log \log k}\right)$$

(see [8]). We remark that for any fixed  $n$  there is a learning algorithm that requires  $O(\log^n k)$  membership queries and polynomial in  $\log k$  running time. This algorithm was proposed in [20, 21, 8].

When  $M$  is the set of all integer points of some polytope we give a lower bound for the complexity  $\text{MEMB}(\text{HS}(M))$ . We show that for any fixed  $n$  and  $l > n$  and for any  $\gamma$  there is a polytope  $P \subset \mathbb{R}^n$  described by a system of  $l$  linear inequalities with integer coefficients by absolute value not exceeding  $\gamma$  such that  $\text{MEMB}(\text{HS}(P \cap \mathbb{R}^n)) = \Omega(l^{\lfloor n/2 \rfloor} \log^{n-1} \gamma)$ . We remark that this bound is near to an upper bound obtained in the threshold function deciphering formalism: an algorithm that learns a half-space over  $P \cap \mathbb{Z}^n$  in time bounded polynomially in

$l$  and  $\log \gamma$  using  $O(\lceil l^{n/2} \rceil \log^n \gamma)$  membership queries was proposed in [16] ( $n$  is fixed).

Some other related results see in Sect. 6.

## 2 Preliminaries

Let  $M$  is an arbitrary finite non-empty subset of  $\mathbb{R}^n$ .  $M$  is considered as an *instance space*. A *concept* over  $M$  is a subset of  $M$ . A *concept class* is some non-empty collection of concepts over  $M$ . The concept  $c \subseteq M$  is called a *half-space* over  $M$  if there exist real numbers  $a_0, a_1, \dots, a_n$  such that

$$c = \left\{ x \in M \mid \sum_{j=1}^n x_j a_j \leq a_0 \right\} . \quad (1)$$

The inequality in (1) is called a *threshold inequality* for  $c$ . Denote by  $\text{HS}(M)$  the set of all half-spaces over  $M$ . Define  $\text{HS}_k^n = \text{HS}(E_k^n)$  where  $E_k = \{0, 1, \dots, k-1\}$ . Each half-space over  $M$  is a concept. The class  $\text{HS}(M)$  is a concept class.

We consider the model of *exact learning* [1, 10] with *membership queries*. The goal of the learner is to identify an unknown target concept  $c$  chosen from a known concept class  $C$ , making membership queries (“Is  $x \in c$ ?” for some  $x \in M$ ) and receiving yes/no answers. The complexity of a learning algorithm for  $C$  is the maximum number of queries it makes, over all possible target concepts  $c \in C$ . The complexity  $\text{MEMB}(C)$  of a concept class  $C$  is the minimum learning complexity, over all learning algorithms for this class. A set  $T \subseteq M$  is said to be a *teaching set* for a concept  $c \in C$  with respect to the class  $C$  if no other concept from  $C$  agrees with  $c$  on the whole  $T$ . If a teaching set is of minimum cardinality, over all teaching sets for a concept  $c$ , then we call it *minimum teaching set* for  $c$ . Denote by  $\text{TD}(c, C)$  the cardinality of a minimum teaching set for a concept  $c$ .  $\text{TD}(C)$  is maximum  $\text{TD}(c, C)$  over all concepts  $c$  in  $C$ .  $\text{TD}(C)$  is called *teaching dimension* for the class  $C$ . It is clear that  $\text{MEMB}(C) \geq \text{TD}(C)$  (cf. [9]).

Let  $\text{Conv}(X)$  be the convex hull of  $X \subseteq \mathbb{R}^n$ ;  $\text{Affdim}(X)$  is the affine dimension of  $X$ . For a concept  $c \subseteq M$  denote by  $N_0(c)$  (resp.  $N_1(c)$ ) the set of vertices of  $\text{Conv}(c)$  (resp.  $\text{Conv}(M \setminus c)$ ). Denote  $P_\nu(c) = \text{Conv} N_\nu(c)$  ( $\nu = 0, 1$ ).

## 3 Auxiliary Results

We first remark that a concept  $c$  over the domain  $M$  belongs to  $\text{HS}(M)$  if and only if  $P_0(c) \cap P_1(c) = \emptyset$ . Indeed, the necessity is evident and the sufficiency follows from the Separating Hyperplane Theorem (see [5]).

Associated with each half-space  $c$  over  $M$  is the cone  $K(c)$  of separating functionals  $a = (a_0, a_1, \dots, a_n, a_{n+1})$  in an  $(n+2)$ -dimensional vector space

[13, 15];  $K(c)$  is described by the conditions

$$\begin{cases} \sum_{j=1}^n a_j x_j \leq a_0 & \text{for each } x \in c , \\ \sum_{j=1}^n a_j x_j \geq a_0 + a_{n+1} & \text{for each } x \in M \setminus c , \\ a_{n+1} \geq 0 . \end{cases} \quad (2)$$

Any solution  $(a_0, \dots, a_{n+1})$  of this system, with  $a_{n+1} > 0$ , defines a threshold inequality for  $c$ . The opposite is also true: the coefficients  $(a_0, \dots, a_n)$  of any threshold inequality of  $c$  satisfy the system (2) for some positive value of  $a_{n+1}$ .

For any  $T_0 \subseteq c$ ,  $T_1 \subseteq M \setminus c$  we consider the next subsystem of (2):

$$\begin{cases} \sum_{j=1}^n a_j x_j \leq a_0 & \text{for each } x \in T_0 , \\ \sum_{j=1}^n a_j x_j \geq a_0 + a_{n+1} & \text{for each } x \in T_1 , \\ a_{n+1} \geq 0 . \end{cases} \quad (3)$$

Denote by  $K(T_0, T_1)$  the cone consisting of its solutions. The set

$$K^*(T_0, T_1) = \left\{ \sum_{x \in T_0} \lambda_x \begin{pmatrix} 1 \\ -x \\ 0 \end{pmatrix} + \sum_{x \in T_1} \lambda_x \begin{pmatrix} -1 \\ x \\ -1 \end{pmatrix} + \nu \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \mid \lambda_x \geq 0, \nu \geq 0 \right\}$$

is a cone, dual to  $K(T_0, T_1)$ . A cone is said to be *pointed* if it does not contain non-zero subspaces.

**Lemma 1.** *For any  $T_0 \subseteq c$ ,  $T_1 \subseteq M \setminus c$  the cone  $K^*(T_0, T_1)$  is pointed.*

*Proof.* Since  $0 \in K(T_0, T_1)$ , for some non-negative  $\nu$  and  $\lambda_x$  ( $x \in T_0 \cup T_1$ ) we have that  $0 = \sum_{x \in T_0} \lambda_x \cdot (1, -x, 0) + \sum_{x \in T_1} \lambda_x \cdot (-1, x, -1) + \nu \cdot (0, 0, 1)$ ; consequently,

$\sum_{x \in T_0} \lambda_x = \sum_{x \in T_1} \lambda_x = \nu$ . If  $\nu = 0$  then for any  $x \in T_0 \cup T_1$  it holds that  $\lambda_x = 0$ ,

hence  $K^*(T_0, T_1)$  is a pointed cone. If  $\nu \neq 0$  then the point  $y = \frac{1}{\nu} \sum_{x \in T_0} \lambda_x x =$

$\frac{1}{\nu} \sum_{x \in T_1} \lambda_x x$ , evidently, belongs to  $P_0 \cap P_1$  that is impossible.  $\square$

**Lemma 2.** *For any  $c \in \text{HS}(M)$  the dimension of  $K(c)$  is  $n + 2$ .*

*Proof.* It is known [5] that the cone  $K$  has the full dimension if and only if the dual cone  $K^*$  is pointed. Since  $K(c) = K(c, M \setminus c)$ , the assertion follows from Lemma 1.  $\square$

**Lemma 3.** *If  $\text{Affdim } M = n$  then for any  $c \in \text{HS}(M)$  the cone  $K(c)$  is pointed.*

*Proof.* It is sufficient to verify that if  $a = (a_0, a_1, \dots, a_n, a_{n+1}) \in K(c)$  and  $-a \in K(c)$  then  $a = 0$ . From the system (2) we get that in this case  $a_{n+1} = 0$  and, consequently,

$$M \subseteq \left\{ x = (x_1, x_2, \dots, x_n) \mid \sum_{j=1}^n a_j x_j = a_0 \right\} .$$

Since the dimension of  $M$  is  $n$ , all  $a_i$  ( $i = 0, \dots, n$ ) are zeroes.  $\square$

Now the following is a consequence of the theory of linear inequalities [5, 12].

**Lemma 4.** *If  $\text{Affdim } M = n$  then for every  $c \in \text{HS}(M)$*

1) *the cone  $K(c)$  has a unique up to positive factors generating system (the system of extreme rays)*

$$\{\widetilde{b}^{(i)} = (b_0^{(i)}, b_1^{(i)}, \dots, b_n^{(i)}, b_{n+1}^{(i)}), i = 1, \dots, s\} ; \quad (4)$$

2) *there are unique sets  $T_0(c) \subseteq c$ ,  $T_1(c) \subseteq M \setminus c$  such that (2) is equivalent to the system*

$$\begin{cases} \sum_{j=1}^n a_j x_j \leq a_0 & \text{for each } (x_1, \dots, x_n) \in T_0(c) , \\ \sum_{j=1}^n a_j x_j \geq a_0 + a_{n+1} & \text{for each } (x_1, \dots, x_n) \in T_1(c) , \\ a_{n+1} \geq 0 \end{cases} \quad (5)$$

and no subsystem of (5) is equivalent to the system (2);

3) *for any  $x = (x_1, \dots, x_n) \in T_0(c)$  there is a subset  $I \subseteq \{1, \dots, s\}$  such that  $|I| = n + 1$ , the system  $\{\widetilde{b}^{(i)}, i \in I\}$  is linearly independent and*

$$\sum_{j=1}^n b_j^{(i)} x_j = b_0^{(i)} \quad (i \in I), \quad \sum_{i \in I} b_{n+1}^{(i)} > 0 ; \quad (6)$$

4) *for any  $x = (x_1, \dots, x_n) \in T_1(c)$  there is a subset  $I \subseteq \{1, \dots, s\}$  such that  $|I| = n + 1$ , the system  $\{\widetilde{b}^{(i)}, i \in I\}$  is linearly independent and*

$$\sum_{j=1}^n b_j^{(i)} x_j = b_0^{(i)} + b_{n+1}^{(i)} \quad (i \in I), \quad \sum_{i \in I} b_{n+1}^{(i)} > 0 .$$

$\square$

There is the standard method to reduce the problem with  $\text{Affdim } M < n$  to the case of full dimension. Let  $M \subseteq \mathbb{Q}^n$ . Denote by  $\text{Aff } M$  the affine hull of  $M$ . Suppose that  $\text{Aff } M = \{x \in \mathbb{R}^n \mid Ax = b\}$  for some  $A \in \mathbb{Z}^{m \times n}$ . Let  $D$  be a Smith's normal diagonal matrix for  $A$ , the matrices  $P$  and  $Q$  are unimodular matrices such that  $PAQ = D$ . Without loss of generality we can take,  $D = (I_m, 0)$  where  $I_m$  is an identity  $m \times m$  matrix,  $0$  is a zero  $n \times (n - m)$  matrix. Perform

the change of variables  $x = Qy$  mapping  $\mathbb{Z}^n$  into  $\mathbb{Z}^n$ . We have that  $PAx = Dy$ , that is,  $\text{Aff } M$  is described by the conditions  $y' = Pb$  where  $y' = (y_1, \dots, y_m)$ . Thus, rewriting remaining conditions in variables  $y'' = (y_{m+1}, \dots, y_n)$  we get the problem in  $\mathbb{R}^{n-m}$  with  $\text{Affdim } M = n - m$ . We remark that there exist  $P, Q$  such that the maximal by absolute value coefficient in the new problem does not exceed some polynomial in the maximal coefficient of the old problem (see, for example, [12]).

#### 4 Characterization of Teaching Sets of Half-Spaces

**Theorem 5.** *Let  $T_0 \subseteq c$ ,  $T_1 \subseteq M \setminus c$ .  $T = T_0 \cup T_1$  is a teaching set for a half-space  $c$  if and only if (3) is equivalent to (2).*

*Proof.* The sufficiency of the conditions is evident. We prove their necessity. Assume that there is the solution  $b = (b_0, b_1, \dots, b_n, b_{n+1})$  of (3) that does not belong to  $K(c)$ . By Lemma 2, we can suppose that  $b_{n+1} > 0$ . The threshold inequality  $\sum_{j=1}^n b_j x_j \leq b_0$  defines some concept  $g \in \text{HS}(M)$ . We have that  $b \notin K(c)$ , thus  $g \neq c$ . But  $g$  agrees with  $c$  on  $T$ . Hence  $T$  is not a teaching set.  $\square$

This theorem leads to

**Corollary 6.** *Let  $T_0 \subseteq c$ ,  $T_1 \subseteq M \setminus c$ , then for any  $c \in \text{HS}(M)$  the set  $T = T_0 \cup T_1$  is a minimum teaching set if and only if  $T_\nu = T_\nu(c)$  ( $\nu = 0, 1$ ).*  $\square$

We note that the 2nd assertion of Lemma 4 is true for any  $M \subseteq \mathbb{R}^n$ , also when  $\text{Affdim } M < n$ . By Corollary 6, we now get

**Corollary 7.** *For any  $c \in \text{HS}(M)$  there is a unique minimum teaching set. It is contained in every teaching set of  $c$ .*  $\square$

Denote by  $T(c) = T_0(c) \cup T_1(c)$  the minimum teaching set for  $c$ .

**Corollary 8.** (Cf. [14, 7]) *For any  $c \in \text{HS}(M)$  it holds that  $T(c) \subseteq N_0(c) \cup N_1(c)$ .*

*Proof.* It is obvious that for  $T_\nu = N_\nu(c)$  the system (3) is equivalent to the system (2). The assertion of the corollary follows now from Theorem 5.  $\square$

Let  $\text{Affdim } M = n$  and  $c \in \text{HS}(M)$ . Without loss of generality we can assume that in (4) it holds that  $b_{n+1}^{(i)} > 0$  for any  $i = 1, \dots, \mu$  and  $b_{n+1}^{(i)} = 0$  for any  $i = \mu + 1, \dots, s$ . Let  $a = (a_1, \dots, a_n)$ ,

$$M_0(c, a) = \left\{ (y_1, \dots, y_n) \in M \mid \sum_{j=1}^n a_j y_j = \max_{x \in c} \sum_{j=1}^n a_j x_j \right\},$$

$$M_1(c, a) = \left\{ (y_1, \dots, y_n) \in M \mid \sum_{j=1}^n a_j y_j = \min_{x \in M \setminus c} \sum_{j=1}^n a_j x_j \right\}.$$

Denote by  $N_\nu(c, a)$  the set of vertices of the convex hull of  $M_\nu(c, a)$ .

**Theorem 9.** *If  $\text{Affdim } M = n$  then for any  $c \in \text{HS}(M)$  it holds that*

$$T(c) = \bigcup_{i=1}^{\mu} \left( N_0(c, \widetilde{b}^{(i)}) \cup N_1(c, \widetilde{b}^{(i)}) \right) = \bigcup_a \left( N_0(c, a) \cup N_1(c, a) \right) ,$$

*in the right-hand side the union is over all  $a = (a_1, \dots, a_n) \in \mathbb{R}^n$  such that the inequality*

$$\sum_{j=1}^n a_j x_j \leq \max_{x \in c} \sum_{j=1}^n a_j x_j$$

*is a threshold inequality for  $c$ .*

*Proof.* First we prove the inclusion  $T(c) \subseteq \bigcup_{i=1}^{\mu} \left( N_0(c, \widetilde{b}^{(i)}) \cup N_1(c, \widetilde{b}^{(i)}) \right)$ . Let  $y = (y_1, \dots, y_n) \in T_0(c)$ . By the 3rd assertion of Lemma 4, there is  $i \in \{1, \dots, \mu\}$  such that  $\sum_{j=1}^n b_j^{(i)} y_j = b_0^{(i)}$ . Since  $b_{n+1}^{(i)} > 0$ , the coefficients  $b_j^{(i)}$  ( $j = 0, 1, \dots, n$ ) are the coefficients of a threshold inequality for  $c$  and  $\max_{x \in c} \sum_{j=1}^n x_j b_j^{(i)} = b_0^{(i)}$ . It follows from this that  $y \in M_0(c, \widetilde{b}^{(i)})$ . Assume that  $y \notin N_0(c, \widetilde{b}^{(i)})$ , i. e.  $y = \sum_{q=1}^p \alpha_q y^{(q)}$  for some  $p > 1$ ,  $\alpha_q > 0$ ,  $\sum_{q=1}^p \alpha_q = 1$ ,  $y \neq y^{(q)} \in M_0(c, \widetilde{b}^{(i)})$  ( $q = 1, \dots, p$ ). Then  $y \notin N_0(c)$  and, by Corollary 8,  $y \notin T_0(c)$ . This contradiction shows that  $y \in N_0(c, \widetilde{b}^{(i)})$ . The case  $y \in T_1(c)$  is proved similarly by the 4th assertion of Lemma 4.

We now prove that  $\bigcup_a \left( N_0(c, a) \cup N_1(c, a) \right) \subseteq T(c)$ . Let  $a = (a_1, \dots, a_n) \in \mathbb{R}^n$  and  $a_0 = \max_{x \in c} \sum_{j=1}^n a_j x_j$ ;  $\sum_{j=1}^n a_j x_j \leq a_0$  is a threshold inequality for  $c$ . For any point  $z \in N_0(c, a)$  we consider a concept  $g = c \setminus \{z\}$ . Let us prove that  $g \in \text{HS}(M)$ . Assume the contrary, then  $P_0(g) \cap P_1(g) \neq \emptyset$ . This means that there are points  $x^{(1)}, \dots, x^{(p)}$  in  $g$ , points  $y^{(0)}, \dots, y^{(q)}$  in  $M \setminus g$ , and positive numbers  $\alpha_1, \dots, \alpha_p, \beta_0, \dots, \beta_q$  such that

$$x = (x_1, \dots, x_n) = \sum_{r=1}^p \alpha_r x^{(r)} = \sum_{t=0}^q \beta_t y^{(t)} , \quad (7)$$

$\sum_{r=1}^p \alpha_r = 1$ ,  $\sum_{t=0}^q \beta_t = 1$  where  $x \in P_0(g) \cap P_1(g)$ . It is clear that among  $y^{(0)}, \dots, y^{(q)}$  there is a point  $z$ , since otherwise we obtain that  $P_0(c) \cap P_1(c) \neq \emptyset$ , that is impossible, because it holds that  $c \in \text{HS}(M)$ . Let  $z = y^{(0)}$ . We have that  $\sum_{j=1}^n a_j x_j = \sum_{r=1}^p \alpha_r \sum_{j=1}^n a_j x_j^{(r)} = \sum_{t=1}^q \beta_t \sum_{j=1}^n a_j y_j^{(t)} + \beta_0 \sum_{j=1}^n a_j z_j$ . In the last formula the central part does not exceed  $a_0$ ; in the right-hand side the first addend is greater than  $a_0$ , and the second one is equal to  $a_0$ . For the equality it is necessary

that  $g = 0$  and  $\sum_{j=1}^n a_j x_j^{(r)} = a_0$  ( $r = 1, \dots, p$ ). Thus,  $\beta_0 = 1$ ,  $z = x$ . From (7) we now obtain that  $z \notin N_0(c, a)$ , that contradicts the condition. Hence  $g \in \text{HS}(M)$ . Since  $c$  and  $g$  differ only at one point, we have that  $z \in T(c)$ .

Suppose now that  $a = (a_1, \dots, a_n) \in \mathbb{R}^n$ ,  $a_0 = \min_{x \in M \setminus c} \sum_{j=1}^n a_j x_j$ . The inequality  $\sum_{j=1}^n a_j x_j \geq a_0$  is true for any point in  $M \setminus c$  and it is false for any point in  $c$ . For each  $z \in N_1(c, a)$  we define a concept  $g = c \cup \{z\}$ . The further proof is the same one described above.

It is obvious that  $\bigcup_{i=1}^{\mu} (N_0(c, \widetilde{b^{(i)}}) \cup N_1(c, \widetilde{b^{(i)}})) \subseteq \bigcup_a (N_0(c, a) \cup N_1(c, a))$ . The last inclusion finishes the proof of the theorem.  $\square$

The example  $x \in M$  is called *essential* for a concept  $c \in \text{HS}(M)$  if there is  $g \in \text{HS}(M)$  such that  $c$  and  $g$  agree on  $M \setminus \{x\}$  and don't agree at the point  $x$ . From the last part of Theorem 9 it follows that  $T(c)$  is exactly the set of essential examples for  $c$ . For the case of Boolean domain  $E_2^n$  this is a well-known result (see [2] and related papers referenced in [2]).

As an example of Theorem 9, consider the concept  $c \in \text{HS}(E_9^3)$  defined by the threshold inequality  $20x_1 + 28x_2 + 35x_3 \leq 140$ . Rewrite the system (5) as  $Qa \geq 0$  where  $a = (a_0, \dots, a_{n+1})^T$  is a column of variables and  $Q$  is a matrix formed from the coordinates of the points of  $T(c)$ . Let  $B$  be a matrix formed from the entries of the vectors  $\widetilde{b^{(i)}}$ ,  $S = QB$ ,  $I$  is an identity matrix. The matrix

$$\left( \begin{array}{c|c} E & B \\ \hline Q & S \end{array} \right)$$

is represented in Table 4. We have that  $\mu = 3$ ,

$$N_0(c, \widetilde{b^{(1)}}) = \{p^{(1)}, p^{(3)}\}, \quad N_1(c, \widetilde{b^{(1)}}) = \{q^{(1)}, q^{(2)}\},$$

$$N_0(c, \widetilde{b^{(2)}}) = \{p^{(1)}, p^{(2)}\}, \quad N_1(c, \widetilde{b^{(2)}}) = \{q^{(1)}, q^{(3)}\},$$

$$N_0(c, \widetilde{b^{(3)}}) = \{p^{(1)}, p^{(2)}, p^{(3)}\}, \quad N_3(c, \widetilde{b^{(1)}}) = \{q^{(1)}\}$$

where  $p^{(1)} = (7, 0, 0)$ ,  $p^{(2)} = (0, 5, 0)$ ,  $p^{(3)} = (0, 0, 4)$ ,  $q^{(1)} = (4, 1, 1)$ ,  $q^{(2)} = (3, 3, 0)$ ,  $q^{(3)} = (2, 0, 3)$ ,  $\widetilde{b^{(1)}} = (56, 8, 11, 14, 1)$ ,  $\widetilde{b^{(2)}} = (70, 10, 14, 17, 1)$ ,  $\widetilde{b^{(3)}} = (140, 20, 28, 35, 140)$ . By Theorem 9,  $T_\nu(c) = \bigcup_{i=1}^3 N_\nu(c, \widetilde{b^{(i)}})$  ( $\nu = 0, 1$ ). For the considered example in the union it suffices to retain solely 2 members. Indeed,  $T_0(c) = N_0(c, \widetilde{b^{(3)}}) = N_0(c, \widetilde{b^{(1)}}) \cup N_0(c, \widetilde{b^{(2)}})$ ,  $T_1(c) = N_1(c, \widetilde{b^{(1)}}) \cup N_1(c, \widetilde{b^{(2)}})$ .

**Table 1.** Example of Theorem 9

1 0 0 0 0	56 70 140 140 105 84 80 50 36 21
0 1 0 0 0	8 10 20 20 15 12 11 7 5 3
0 0 1 0 0	11 14 28 28 21 16 16 10 7 4
0 0 0 1 0	14 17 35 35 25 21 20 12 9 5
0 0 0 0 1	1 1 3 0 0 0 0 0 0 0
1 -7 0 0 0	0 0 0 0 0 0 3 1 1 0
1 0 -5 0 0	1 0 0 0 0 4 0 0 1 1
1 0 0 -4 0	0 2 0 0 5 0 0 2 0 1
-1 4 1 1 -1	0 0 0 3 1 1 0 0 0 0
-1 3 3 0 -1	0 1 1 4 3 0 1 1 0 0
-1 2 0 3 -1	1 0 2 5 0 3 2 0 1 0
0 0 0 0 1	1 1 3 0 0 0 0 0 0 0

## 5 Bounds for the Teaching Dimension of Half-Spaces

Denote by  $N$  the set of vertices of the polytope  $\text{Conv } M$ .

**Lemma 10.** *If  $c = M$  or  $c = \emptyset$  then it holds that  $T(c) = N$ .*

*Proof.* Assume that for  $c = M$  there is a point  $x \in N \setminus T(c)$ . Consider the concept  $g = M \setminus \{x\}$ . Since  $x \in N$ , it is clear that  $g \in \text{HS}(M)$  and, consequently,  $x \in T(c)$ . We have proved that  $N \subseteq T(c)$ . The opposite inclusion follows from Corollary 8. For  $c = \emptyset$  the lemma can be proved by analogy.  $\square$

From Lemma 10 it follows that  $\text{TD}(\text{HS}_k^n) \geq 2^n$ . Indeed, assume that  $c = E_k^n$ . By Lemma 10 we have that  $\text{TD}(c) = 2^n$ , hence  $\text{TD}(\text{HS}_k^n) \geq 2^n$ . Thus, no polynomial in  $n$  algorithm for learning half-spaces over  $E_k^n$  from membership queries exists. This was originally proved in [14].

Let  $P$  be a polytope in  $\mathbb{R}^n$  that can be described as an integer system of  $l$  linear inequalities with integer coefficients whose absolute values do not exceed  $\gamma$ . Denote by  $\mathcal{P}(n, l, \gamma)$  the class of all such polytopes. For the class  $\text{HS}(M)$  with  $M = P \cap \mathbb{Z}^n$  and  $P \in \mathcal{P}(n, l, \gamma)$  we have

**Theorem 11.** *For every natural  $n \geq 2$  and  $l > n$  there is  $\gamma_0$  such that for every  $\gamma \geq \gamma_0$  there exists a polytope  $P \in \mathcal{P}(n, l, \gamma)$  such that*

$$\text{MEMB}(\text{HS}(M)) \geq \text{TD}(\text{HS}(M)) \geq D_n l^{\lfloor n/2 \rfloor} \log^{n-1} \gamma$$

where  $M = P \cap \mathbb{Z}^n$  and  $D_n$  is some positive quantity depending only on  $n$ .

*Proof.* It was proved in [6] (cf. [3]) that for any fixed  $n \geq 2$  and  $l > n$ , for any sufficiently large  $\gamma$  there exists a polytope  $P \in \mathcal{P}(n, l, \gamma)$  such that the number of vertices of  $\text{Conv}(P \cap \mathbb{Z}^n)$  is not less than  $D_n l^{\lfloor n/2 \rfloor} \log^{n-1} \gamma$ . The assertion to be proved follows now from Lemma 10.  $\square$



Return to the class  $\text{HS}_k^n$ . Denote by  $N(a_0, a_1, \dots, a_n)$  the set of all vertices of a convex hull of solutions of the following system:

$$\begin{cases} \sum_{j=1}^n a_j x_j = a_0 ; \\ x_j \geq 0; x_j \in \mathbf{Z} (j = 1, \dots, n) . \end{cases}$$

In [18] S. I. Veselov got a lower bound for the mean quantity of  $|N(a_0, a_1, \dots, a_n)|$  (see Sect. 3.5 of [15]). This leads to

**Lemma 12.** *For every  $n \geq 2$ ,  $k \geq 2$  there are positive numbers  $a_0, a_1, \dots, a_n$  such that  $a_i \leq k - 1$  ( $i = 0, 1, \dots, n$ ) and*

$$|N(a_0, a_1, \dots, a_n)| \geq C_n \log^{n-2} k$$

where  $C_n$  is some positive quantity depending only on  $n$ . □

**Theorem 13.** *For every  $n \geq 2$  and  $k \geq 2$*

$$C_n \log^{n-2} k \leq \text{TD}(\text{HS}_k^n) \leq C'_n \log^{n-1} k$$

where  $C_n$  and  $C'_n$  are some quantities depending only on  $n$ .

*Proof.* The lower bound was announced (without a proof) in [17]. To obtain it we construct a concept  $c$  in the following manner. Consider  $a_0, a_1, \dots, a_n$  in the assertion of Lemma 12 as the coefficients of a threshold inequality of  $c$ . Since  $1 \leq a_i \leq k - 1$ , we have that  $N(a_0, \dots, a_n) \subseteq E_k^n$ . From Theorem 9 it follows that  $T(c) \supseteq N(a_0, \dots, a_n)$ , hence,  $\text{TD}(c, \text{HS}_k^n) \geq C_n \log^{n-2} k$ , consequently,  $\text{TD}(\text{HS}_k^n) \geq C_n \log^{n-2} k$ .

The upper bound was proved by T. Hegedüs [7] on the base of [13]. It is clear that for  $T_\nu = N_\nu(c)$  the system (2) is equivalent to the system (3), hence  $T(c) \subseteq N_0(c) \cup N_1(c)$ ; it is known [7] that  $|N_0(c)| + |N_1(c)| \leq C'_n \log^{n-1} k$  where  $C'_n$  is some quantity depending only on  $n$ . Thus for any concept  $c \in \text{HS}_k^n$  the inequality  $\text{TD}(c) \leq C'_n \log^{n-1} k$  holds. □

The lower bound in Theorem 13 gives us that  $\text{MEMB}(\text{HS}_k^n) \geq C_n \log^{n-2} k$ .

## 6 Related Results and Open Problems

In proving the lower bound for the teaching dimension of half-spaces over  $E_k^n$  we used the fact that the quantity  $\mu$  in Theorem 9 is at least 1. An open problem remains: it would be helpful to estimate from *above* the quantity  $\mu$  (we remark that for  $n \geq 3$  there are examples with  $\mu = 2, 3$ ). In this way one could apparently decrease the upper bound on  $\text{TD}(\text{HS}_k^n)$ . For instance, it is known from [17] that  $\text{TD}(\text{HS}_k^2) = 4$ . This result is of considerable interest because (as it was shown in [4, 19])  $\text{MEMB}(\text{HS}_k^2) = \Theta(\log k)$ .

## References

1. Angluin, D.: Queries and concept learning. *Machine Learning* (2) (1988) 319–342
2. Anthony, M., Brightwell, G., Shawe-Taylor, J.: On specifying Boolean functions by labelled examples. *Discrete Applied Mathematics* **61** (1) (1995) 1–25
3. Bárány, I., Howe, R., Lovász, L.: On integer points in polyhedra: a lower bound. *Combinatorica* (12) (1992) 135–142
4. Bultman, W. J., Maass, W.: Fast identification of geometric objects with membership queries. *Information and Computation* **118** (1) (1995) 48–64
5. Chernikov, S. N.: *Linear Inequalities*. “Nauka” Moscow (1968). German transl.: VEB Deutscher Verlag Wiss. Berlin (1971)
6. Chirkov, A. Yu.: On lower bound of the number of vertices of a convex hull of integer and partially integer points of a polyhedron. *Proceedings of the First International Conference “Mathematical Algorithms”*. NNSU Publishers Nizhny Novgorod (1995) 128–134 (Russian)
7. Hegedüs, T.: Geometrical concept learning and convex polytopes. *Proceedings of the 7th Annual ACM Conference on Computational Learning Theory (COLT’94)*. ACM Press New York (1994) 228–236
8. Hegedüs, T.: Generalized teaching dimensions and the query complexity of learning. *Proceedings of the 8th Annual ACM Conference on Computational Learning Theory (COLT’95)*. ACM Press New York (1995) 108–117
9. Korobkov, V. K.: On monotone functions of logic algebra. *Cybernetics Problems*. “Nauka” Moscow **13** (1965) 5–28 (Russian)
10. Maass, W., Turán, Gy.: Lower bound methods and separation results for on-line learning models. *Machine Learning* (9) (1992) 107–145
11. Moshkov, M. Yu.: Conditional tests. *Cybernetics Problems*. “Nauka” Moscow **40** (1983) 131–170 (Russian)
12. Schrijver, A.: *Theory of Linear and Integer Programming*. Wiley–Interscience New York (1986)
13. Shevchenko, V. N.: On some functions of many-valued logic connected with integer programming. *Methods of Discrete Analysis in the Theory of Graphs and Circuits*. Novosibirsk **42** (1985) 99–102 (Russian)
14. Shevchenko, V. N.: Deciphering of a threshold function of many-valued logic. *Combinatorial–Algebraic Methods in Applied Mathematics*. Gorky (1987) 155–163 (Russian)
15. Shevchenko, V. N.: *Qualitative Topics in Integer Linear Programming*. “Fizmatlit” Moscow (1995). English transl.: AMS Providence Rhode Island (1997)
16. Shevchenko, V. N., Zolotykh, N. Yu.: Decoding of threshold functions defined on the integer points of a polytope. *Pattern Recognition and Image Analysis*. MAIK/Interperiodica Publishing Moscow **7** (2) (1997) 235–240
17. Shevchenko, V. N., Zolotykh, N. Yu.: On complexity of deciphering threshold functions of  $k$ -valued logic. *Russian Math. Dokl. (Doklady Rossiiskoi Akademii Nauk)* (to appear)
18. Veselov, S. I.: A lower bound for the mean number of irreducible and extreme points in two discrete programming problems. Manuscript No. 619–84, deposited at VINITI Moscow (1984) (Russian).
19. Zolotykh, N. Yu.: An algorithm of deciphering a threshold function of  $k$ -valued logic in the plane with the number of calls to the oracle  $O(\log k)$ . *Proceedings of the First International Conference “Mathematical Algorithms”*. NNSU Publishers Nizhny Novgorod (1995) 21–26 (Russian)

20. Zolotykh, N. Yu., Shevchenko, V. N.: On complexity of deciphering threshold functions. *Discrete Analysis and Operations Research*. Novosibirsk **2** (1) (1995) 72–73 (Russian)
21. Zolotykh, N. Yu., Shevchenko, V. N.: Deciphering threshold functions of  $k$ -valued logic. *Discrete Analysis and Operations Research*. Novosibirsk **2** (3) (1995) 18–23. English transl.: Korshunov, A. D. (ed.): *Operations Research and Discrete Analysis*. Kluwer Ac. Publ. Netherlands (1997) 321–326